

**Pre-Award Evaluation Data Form**

NOTE: If the Contractor or Subcontractor is a joint venture, submit this form for each member of the joint venture along with a copy of the joint venture agreement.

<b>Metra RFP No. 97728</b> <b>New Battery Powered Trainsets</b>			
Name of firm: Stadler US, Inc.		Address: 5880 W 150 S, Salt Lake City, UT, 84104	
<input type="radio"/> Individual	<input type="radio"/> Partnership	<input checked="" type="radio"/> Corporation	<input type="radio"/> Joint Venture
Date Organized: 2016		State in which incorporated: Delaware	
Names of officers or partners: Martin Ritter - President (and CEO) Lucy Andre - Secretary Chris Conradi - Treasurer			
How long has your firm been in business under its present name? 6 Years in the United States as Stadler US, Inc. 80 Years in Europe as Stadler AG			
Have you been terminated or defaulted, in the last five years, on any contract you were awarded? <input type="radio"/> YES or <input checked="" type="radio"/> NO If yes, attach as <b>SCHEDULE ONE</b> the full particulars regarding each occurrence.			
The above information is confidential and will not be divulged to any unauthorized personnel.  The undersigned certifies to the accuracy of all information:  <b>Name and title:</b> Martin Ritter, President (and CEO)  <b>Company:</b> Stadler US, Inc.			
			12/16/2022
<b>Signature</b>			<b>Date</b>

**Buy America Certification (Rolling Stock)**

The bidder/proposer must submit to Metra the appropriate Buy America certification below with its bid/proposal. Bids or proposals that are not accompanied by a completed Buy America certification will be rejected as nonresponsive.

In accordance with 49 CFR § 661.12, for the procurement of rolling stock (including train control, communication, and traction power equipment) use the following certifications:

**Certificate of Compliance with Buy America Rolling Stock Requirements**

The bidder/proposer hereby certifies that it will comply with the requirements of 49 U.S.C. 5323(j), and the applicable regulations of 49 CFR § 661.11.

Date: December 16, 2022

Signature:  \_\_\_\_\_

Company: Stadler US, Inc.

Name: Martin Ritter

Title: Chief Executive Officer

**Certification of Non-Compliance with Buy America Rolling Stock Requirements**

The bidder/proposer hereby certifies that it cannot comply with the requirements of 49 U.S.C. 5323(j), but may qualify for an exception to the requirement consistent with 49 U.S.C. 5323(j)(2)(C), and the applicable regulations in 49 C.F.R. § 661.7.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Company: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

**Transit Vehicle Manufacturers (TVM) Certification**

The bidder/proposer, if a transit vehicle manufacturer, hereby certifies that it has complied with the requirements of 49 CFR 26.49 by submitting a current DBE goal to the Federal Transit Administration that has either been approved or not disapproved by FTA.

The bidder/proposer, if a non-vehicle manufacturer supplier, hereby certifies that the manufacturer of the transit vehicle to be supplied has complied with the above-referenced requirements of 49 CFR 26.49.

Manufacturer: Stadler US, Inc.

Manufacturer Representative Signature: \_\_\_\_\_

A handwritten signature in blue ink, consisting of a large, stylized 'S' followed by a horizontal line extending to the right.

If applicable:

Supplier: \_\_\_\_\_

Supplier Representative's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**AFFIDAVITS/CERTIFICATIONS FOR CONTRACTORS**

FILL IN THE BLANKS AND SUBMIT THIS FORM WITH PROPOSAL. HAVE APPLICABLE SIGNATURES NOTARIZED ON LAST PAGE.

**STATE OF** Utah

**COUNTY OF** Salt Lake

The Undersigned represents that s/he is Martin Ritter (“Undersigned”) the  
**(Print Name)**

Chief Executive Officer of Stadler US Inc.  
**(Print President or Other Proper Title) (Print name of Entity)**

(“Company” or “Undersigned”) and is authorized to attest on behalf of himself/herself and

Stadler US Inc., and states as follows:  
**(Print Name of Company)**

**A. PROHIBITED INTERESTS AND CONFLICTS OF INTEREST.**

**1. PUBLIC OFFICER PROHIBITED ACTIVITIES ACT AFFIDAVIT**

The Company is the proposer submitting this proposal and that the proposer is in compliance with provisions set forth in the Public Officer Prohibited Activities Act, 50 ILCS 105/0.01, *et seq.*, and to the best of its knowledge and belief, no person holding office, either by election or appointment under the laws or constitution of this State, is in any manner interested, either directly or indirectly, in his/her own name or in the name of any other person, association, trust, or corporation, in this contract or the performance of any work/services under this contract which such officer has been or may be called upon to act or vote.

**2. METRA'S CONFLICTS OF INTEREST ORDINANCE**

Pursuant to 4.03 of Metra's Bidding Regulations:

Members of the Board, officers, and employees of Metra, their spouses, their children, their parents, their brothers and sisters and their children, are prohibited from having or acquiring any contract or any direct pecuniary interest in any contract which will be wholly or partially performed by the payment of funds or the transfer of property of the Metra. Any firm, partnership, association, or corporation from which any member of the Board, officer, or employee of the Metra is entitled to receive more than seven and one half percent (7-1/2%) of the total distributable income, is prohibited from having or acquiring any contract or direct pecuniary interest in any contract which will be performed in whole or in part by payment of funds or the transfer of property of Metra.

Any firm, partnership, association, or corporation from which members of the Board, officers, employees of Metra, their spouses, their children, their parents, their brothers and sisters and their children, are entitled to receive in the aggregate more than fifteen percent (15%) of the total distributable income, is prohibited from having or acquiring any contract or direct pecuniary interest in any contract which will be performed in whole or in part by the payment of funds or the transfer of property of Metra.

Board members and employees are prohibited from participating in the selection, award, or administration of a contract supported by Metra funds, federal funds, or any other grant funds if a real conflict of interest or to his or her knowledge, an apparent conflict of interest would be involved. A real or apparent conflict of interest would arise when any of the following has an interest in the entity selected for award: (a) an employee, officer, board member, or agent; (b) any member of his or her immediate family (as listed above in the first paragraph); (c) his or her business partner; or (d) an organization that employs; or intends to employ, any of the above. "Apparent" is defined under this paragraph as being one in which a person is an officer or director of an entity, or has an interest in the ownership or profits of an entity, and such interest appears substantial to a reasonable person. "Interest" is defined under this paragraph as a direct or indirect entitlement to receive any of the entity's profits.

In addition, Undersigned states that no officer of Metra has represented, either as an agent or otherwise, the proposer with respect to this application or bid for contract. Finally, Undersigned states that to best of its knowledge and belief, no officer of Metra has received or been offered from any person on behalf of the proposer, either directly or indirectly, any money or other thing of value as a gift, bribe, or means of influencing any vote or action in any official's capacity. Furthermore, Undersigned certifies that, to the best of its knowledge, it is in compliance with Metra's Bidding Regulations and is unaware of any of the foregoing persons having an interest prohibited by Section 4.03 of the Bidding Regulations.

## **B. NON-COLLUSION AFFIDAVIT**

The Company is the proposer submitting this proposal and that such proposal was not made in the interest of or on behalf of any undisclosed person, partnership, company, organization or corporation; that such proposal is genuine and not collusive or a sham and that said proposer has not been a party to any agreement or collusion among bidders/proposers or prospective bidders/proposers in restraint of freedom of competition by agreement to bid a fixed price or other-wise, or to refrain from proposing, and has not, directly or indirectly, by agreement, communication, or conference with anyone, attempted to induce action prejudicial to the interest of Metra, or of any proposer or anyone else interested in the proposed contract.

## **C. CERTIFICATE FOR PROPOSAL**

As a part of its offer to contract for services to Metra, the Undersigned hereby certifies that neither the Company nor any of its principals are barred from proposing on the aforementioned contract as a result of a violation of either Section 33E-3 or 33E-4 of 720 ILCS 5/33E.

## **D. CERTIFICATE OF DEBARMENT**

As the potential contractor for a primary contract or subcontractor to a primary contractor for subcontracts over \$25,000.00, the Undersigned certifies to the best of its knowledge and belief, the Company and its principals:

1. Are not included on the U.S. Comptroller General's Consolidated List of Persons or Firms Debarred from federal contracts for violations of various public contracts incorporating labor standard provisions;
2. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state, or local government entity;
3.
  - a. Have not been convicted under the laws of Illinois or any other state of bribery or attempting to bribe any government officer or employee or have made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct. No business shall be barred from contracting with Metra as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and: i) the business has been finally adjudicated not guilty; or ii) the business demonstrates to Metra, and Metra finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961. For purposes of this Subsection (a), when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct. Contractor hereby certifies that the contractor and its subcontractors are not barred from being awarded a contract or subcontract under this Section.
  - b. Are not convicted of a felony. No person or business shall do business with Metra from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business. Contractor hereby certifies the Contractor is not barred from being awarded a contract under this Section.
4. Are not presently indicted for, or otherwise criminally or civilly charged by a government entity (federal, state or local) for any reason; or
5. Have not, within a three-year period preceding this proposal, had one or more public transactions (federal, state or local) terminated for cause or default.

(If the Undersigned is unable to certify to any of the statements in this certification, the Undersigned shall attach an explanation).

**THE UNDERSIGNED CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF THE FEDERAL FALSE CLAIMS ACT ARE APPLICABLE THERETO.**

## **E. CERTIFICATION OF RESTRICTIONS ON LOBBYING**

This certification is required to be completed with the solicitation if the proposal exceeds \$100,000.00. Failure to return this certification with the solicitation may result in a determination that the offer is non-responsive or non-responsible.

The Undersigned certifies to the best of its knowledge or belief that:

1. No federal appropriated funds have been paid or will be paid, by or on behalf of the Undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contact, the making of any federal grant, the making of an federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of federal contact, grant, loan, or cooperative agreement, the Undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
3. The Undersigned shall require that the language of this certification be included in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements), and that all subrecipients shall certify and disclose accordingly.

## **F. REVOLVING DOOR PROHIBITION**

The Undersigned has reviewed its list of employees (and subcontractors) involved in this procurement and it has no knowledge of any former Metra employee being involved in the solicitation process in violation of Section 4.05 of Metra's Bidding Regulations.

Section 4.05 states that all Metra Board members and non-contract personnel in specified positions are expressly prohibited, for a period of one (1) year after terminating employment with Metra, from engaging in any procurement activity with Metra. A "specified position" is one that is non-contract, is held for a period of six (6) months preceding such termination, is at a Grade P12 or above (including M Grades), and is not merely clerical or ministerial in nature. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; or proposing bid, proposal, or contract documents on the part of the former employee or Board member, or in association with the former employee or Board member by or on behalf of any firm, partnership, association, or corporation affiliated with the former employee or Board member. The Undersigned certifies that the award and/or execution of a contract would not cause any violation of Section 4.05.

## **G. CONTINUING OBLIGATION TO INFORM METRA**

If Company acquires information after executing this certification that there may be an actual or apparent violation of any of the above Company shall promptly bring such information to the attention of Metra's Procurement Officer. Company shall thereafter cooperate with Metra's review and investigation of such information, and comply with any instruction it receives from Metra in regard to remedying the situation.

## **H. PENALTIES**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into the Contract pursuant to Metra's regulations and 31 U.S.C. 1352. A Company who makes a false statement, materials to the certification, is subject to termination for cause. The undersigned certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Company understands and agrees that the provisions of 31 U.S.C. 3801, et seq., apply to this certification and disclosure.

## **I. ILLINOIS WAGE ACT/DAVIS-BACON ACT CERTIFICATION**

To the extent applicable, the Undersigned hereby certifies that the wage rate paid by said Undersigned will be no less than the wage rates set forth by the State of Illinois and the Federal Davis-Bacon Act. Labor classifications and current wage rates are available for review at Metra's headquarters upon written request.

## **J. DEBT TO STATE**

Contractor certifies that neither it, nor its affiliate(s) is/are barred from receiving a contract Award because Contractor, or its affiliate(s) is/are delinquent in payment of any debt to the State, unless Contractor, or its affiliate(s), has/have entered into a deferred payment plan to pay off the debt, and Contractor acknowledges Metra may declare the Agreement void if certification is false. (5 ILCS 500/50-11).

## **K. INTERNATIONAL BOYCOTT**

Contractor certifies that neither it or any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provision of the U.S. Export Administration Act of 1979 (50 USC Appendix 2401 et seq. or regulations of the Department of Commerce under that Act (15 CFR Parts 730 through 774).

## **L. GOODS FROM CHILD LABOR ACT**

Contractor certifies that no foreign-made equipment, materials, or supplies furnished to the State under this Agreement have been produced in whole or in part by the labor of any child under the age of twelve (12) (30 ILCS 584).

**PRIME CONTRACTOR SIGNATURES REQUIRED ON NEXT PAGE**

The undersigned certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Company understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure.

Stadler US Inc.  
\_\_\_\_\_  
**(Print) Name of Company**

By: \_\_\_\_\_  
**Signature of Person Making Affidavit (Undersigned listed above)**

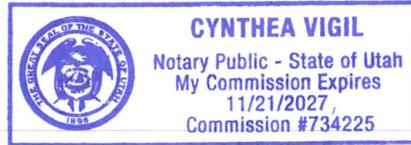
Date: 02/05/2024

Martin Ritter  
\_\_\_\_\_  
**(Print) Title of Person Making Affidavit**

**NOTARIZE HERE**

**Subscribed and sworn to before me**  
**This 5<sup>th</sup> day of February 2024**

Cynthia Vigil  
\_\_\_\_\_  
**Notary Public**



**CERTAIN SUBCONTRACTOR SIGNATURES REQUIRED ON NEXT PAGE**

**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF New Jersey

COUNTY OF Burlington

The Undersigned represents that s/he is Josue A. Chavez ("Undersigned Subcontractor") the  
(Print Name)

President & MD of Hoppeche Batteries Inc.  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

Hoppeche Batteries Inc.  
(Print) Name of Subcontractor Entity

By: [Signature]  
Signature of Person Making Affidavit (Undersigned listed above)

Date: FEB 2 2024

PRESIDENT & MANAGING DIRECTOR  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 2<sup>nd</sup> day of February 2024.

[Signature]  
Notary Public

Leslie Cromie  
Notary Public of New Jersey  
My Commission Expires April 24, 2024

**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF South Carolina

COUNTY OF Charleston

The Undersigned represents that s/he is Jessica Holt ("Undersigned Subcontractor") the  
(Print Name)

Chief Financial Officer of Hubner Manufacturing Corporation  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

Hubner Manufacturing Corporation  
(Print) Name of Subcontractor Entity

By: [Signature]  
Signature of Person Making Affidavit (Undersigned listed above)

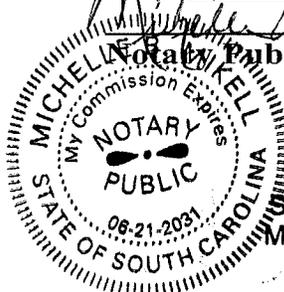
Date: 02/01/2024

Chief Financial Officer  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me,  
This 1st day of February 2024.

Michelle B. Mikell  
Notary Public



Michelle B. Mikell  
Notary Public  
State of South Carolina  
My Commission Expires  
June 21, 2031

**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Maryland

COUNTY OF Carroll County

The Undersigned represents that s/he is Jason Stansbury (“Undersigned Subcontractor”) the  
**(Print Name)**

Senior Legal Counsel of Knorr Brake Company, LLC  
**(Print “President” or Other Proper Title)** **(Print name of Subcontractor Entity)**

(“Subcontractor” or “Undersigned Subcontractor”) and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra’s Procurement Officer.
3. The provisions of Section 1 above are applicable.

Knorr Brake Company, LLC  
**(Print) Name of Subcontractor Entity**

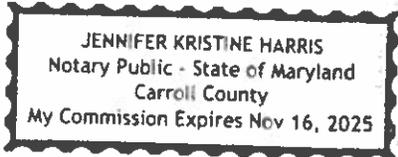
By: [Signature]  
**Signature of Person Making Affidavit (Undersigned listed above)**

Date: 2-5-2024

Senior Legal Counsel  
**(Print) Title of Person Making Affidavit**

**NOTARIZE HERE**  
Subscribed and sworn to before me  
This 5 day of February, 2024.

[Signature]  
**Notary Public**



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Québec

COUNTY OF Canada.

The Undersigned represents that s/he is MARC CHÉNIER ("Undersigned Subcontractor") the  
(Print Name)

General Manager of LTG RAIL CANADA  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

LTG Rail Canada  
(Print) Name of Subcontractor Entity

By: [Signature]  
Signature of Person Making Affidavit (Undersigned listed above)

Date: 02-05-2024

General Manager  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 5 day of February 2024.

[Signature]  
Notary Public



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

**STATE OF California**

**COUNTY OF San Francisco**

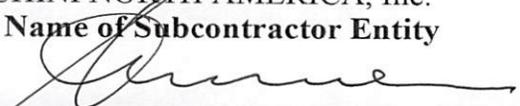
The Undersigned represents that s/he is **ANTONIO VALLA** ("Undersigned Subcontractor") the  
**(Print Name)**

**SECRETARY** of **LUCCHINI NORTH AMERICA, Inc.**  
**(Print "President" or Other Proper Title)** **(Print name of Subcontractor Entity)**

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

**LUCCHINI NORTH AMERICA, Inc.**  
**(Print) Name of Subcontractor Entity**

By:   
**Signature of Person Making Affidavit (Undersigned listed above)**

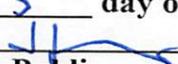
Date: February 5th, 2024

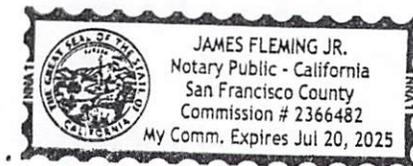
**SECRETARY**  
**(Print) Title of Person Making Affidavit**

**NOTARIZE HERE**

Subscribed and sworn to before me

This 5 day of February 20 24

  
**Notary Public**



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Pennsylvania  
COUNTY OF Cambria

The Undersigned represents that s/he is Judith L Whaley ("Undersigned Subcontractor") the  
(Print Name)  
Controller of Penn Machine Co, LLC  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

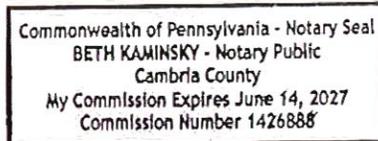
Penn Machine Co, LLC  
(Print) Name of Subcontractor Entity

By: Judith L Whaley  
Signature of Person Making Affidavit (Undersigned listed above)  
Controller  
(Print) Title of Person Making Affidavit

Date: 2/6/24

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 6<sup>th</sup> day of February 2024.  
Beth Kaminsky  
Notary Public



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Minnesota

COUNTY OF Hennepin

The Undersigned represents that s/he is PATRICK MUELLER ("Undersigned Subcontractor") the  
(Print Name)

CEO of PINTA - FOAMTEC  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

PINTA FOAMTEC  
(Print) Name of Subcontractor Entity

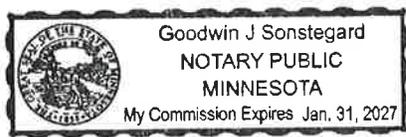
By: [Signature]  
Signature of Person Making Affidavit (Undersigned listed above)

Date: 2-2-2024

CEO  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 2nd day of February 2024  
[Signature]  
Notary Public



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Maryland

COUNTY OF Baltimore City

The Undersigned represents that s/he is Dana Kolig ("Undersigned Subcontractor") the  
(Print Name)

CEO of Railway Products Group Inc  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

Railway Products Group Inc  
(Print) Name of Subcontractor Entity

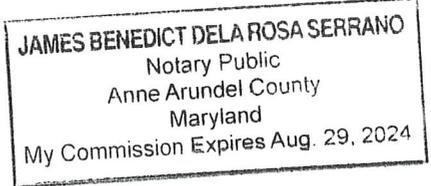
By: Dana Kolig  
Signature of Person Making Affidavit (Undersigned listed above)

Date: 2/2/24

CEO  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**  
**Subscribed and sworn to before me**  
This 2ND day of FEBRUARY 2024.

James Benedict DeLaRosa Serrano  
Notary Public



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Maryland

COUNTY OF Baltimore

The Undersigned represents that s/he is Debbie Updyke (“Undersigned Subcontractor”) the  
(Print Name)

OEM Contracts Manager of Saft America, Inc.  
(Print “President” or Other Proper Title) (Print name of Subcontractor Entity)

(“Subcontractor” or “Undersigned Subcontractor”) and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra’s Procurement Officer.
3. The provisions of Section 1 above are applicable.

Saft America, Inc.  
(Print) Name of Subcontractor Entity

By: Debbie Updyke  
Signature of Person Making Affidavit (Undersigned listed above)

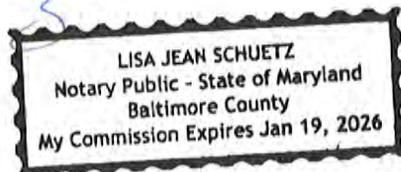
Date: February 2, 2024

OEM Contracts Manager  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 2 day of February 2024.

Lisa Jean Schuetz  
Notary Public



**SUBCONTRACTOR  
DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF New York

COUNTY OF Schenectady

The Undersigned represents that s/he is ZACHARY MANNERS ("Undersigned Subcontractor") the  
(Print Name)

SALES MANAGER - NORTH AMERICA of SECHERON SA  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

SECHERON SA  
(Print) Name of Subcontractor Entity

By: [Signature]  
Signature of Person Making Affidavit (Undersigned listed above)

Date: 2/5/2024

SALES MANAGER - NORTH AMERICA  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me

This 5<sup>th</sup> day of February 2024

[Signature]  
Notary Public

RAYMOND N. INGRAHAM III  
Notary Public, State of New York  
No. 01IN6396620  
Qualified in Schenectady County  
Commission Expires Aug. 26, 2027

**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

Province  
STATE OF Ontario  
Region  
COUNTY OF Peel

The Undersigned represents that s/he is MARC GAGNE (“Undersigned Subcontractor”) the  
(Print Name)

PRESIDENT & CEO of TDG TRANSIT DESIGN GROUP INTERNATIONAL  
(Print “President” or Other Proper Title) (Print name of Subcontractor Entity)

(“Subcontractor” or “Undersigned Subcontractor”) and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra’s Procurement Officer.
3. The provisions of Section 1 above are applicable.

TDG TRANSIT DESIGN GROUP INTERNATIONAL  
(Print) Name of Subcontractor Entity

By:   
Signature of Person Making Affidavit (Undersigned listed above)

Date: FEBRUARY 2, 2024

PRESIDENT & CEO  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 2<sup>nd</sup> day of February 2024.  
Cassandra Waiserman  
Notary Public

Cassie Waiserman





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6501  
0140

**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF South Carolina  
COUNTY OF Horry

The Undersigned represents that s/he is Mikko Kosonen ("Undersigned Subcontractor") the  
(Print Name)

President of Teknoware Inc.  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

- 1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
- 2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
- 3. The provisions of Section I above are applicable.

Teknoware Inc.  
(Print) Name of Subcontractor Entity

By: [Signature]  
Signature of Person Making Affidavit (Undersigned listed above)

Date: 2/1/24

President Mikko Kosonen  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**  
Subscribed and sworn to before me  
This 1 day of February 2024  
[Signature]  
Notary Public



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE:PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Texas

COUNTY OF Tarrant

The Undersigned represents that s/he is Paul Scanlon (“Undersigned Subcontractor”) the  
**(Print Name)**

Vice President, Product Management of Wabtect Railway Electronics, Inc.  
**(Print “President” or Other Proper Title)** **(Print name of Subcontractor Entity)**

(“Subcontractor” or “Undersigned Subcontractor”) and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra’s Procurement Officer.
3. The provisions of Section 1 above are applicable.

Wabtec Railway Electronics, Inc  
**(Print) Name of Subcontractor Entity**

By: Paul D. Scanlon  
**Signature of Person Making Affidavit (Undersigned listed above)**

Date: Feb 5, 2024

VP Electronic & Digital Product Management  
**(Print) Title of Person Making Affidavit**

**NOTARIZE HERE**  
**Subscribed and sworn to before me**  
**This 5th day of February 2024.**

\_\_\_\_\_  
**Notary Public**

Jennifer  
Denison

Digitally signed by Jennifer Denison  
Date: 2024.02.05 08:21:29 -06'00'



**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Bavaria, Germany  
COUNTY OF Munich

The Undersigned represents that s/he is Bernhard Weindl ("Undersigned Subcontractor") the  
(Print Name)

Managing Director of Wagner Rail GmbH  
(Print "President" or Other Proper Title) (Print name of Subcontractor Entity)

("Subcontractor" or "Undersigned Subcontractor") and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra's Procurement Officer.
3. The provisions of Section 1 above are applicable.

Wagner Rail GmbH  
(Print) Name of Subcontractor Entity

By: B. Weindl  
Signature of Person Making Affidavit (Undersigned listed above)

Date: 02.02.24

Managing Director  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**

Subscribed and sworn to before me  
This 2nd day of February 2024.

C. Esbjörnsson  
Notary Public



Notare

**Bernd Schmitt und Christian Esbjörnsson**  
83022 Rosenheim · Prinzregentenstraße 1  
Telefon: (08031) 30 11 0 · Telefax: 30 11 41  
E-Mail: info@notare-es-ro.de  
www.notare-es-ro.de

**SUBCONTRACTOR**  
**DEBARMENT CERTIFICATION**

NOTE: PRIMARY CONTRACTOR IS RESPONSIBLE FOR THIS FORM BEING SUBMITTED PRIOR TO AWARD. SUBCONTRACTOR(S) WITH SUBCONTRACTS OVER \$25,000.00 MUST ALSO COMPLETE AND SIGN THE FOLLOWING:

STATE OF Michigan

COUNTY OF Kent

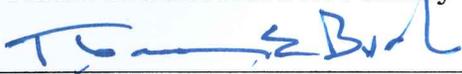
The Undersigned represents that s/he is Thomas E. Bush (“Undersigned Subcontractor”) the  
(Print Name)

President & CEO of American Seating Company  
(Print “President” or Other Proper Title) (Print name of Subcontractor Entity)

(“Subcontractor” or “Undersigned Subcontractor”) and is authorized to attest on behalf of himself/herself and Subcontractor Entity by stating as follows:

1. As a subcontractor to a primary contractor for subcontracts over \$25,000.00, the undersigned Subcontractor certified to the best of its knowledge and belief that the debarment statements in Section D above are truthful and accurate.
2. If Undersigned Subcontractor acquires information after executing this certification that there may be an actual or apparent violation of any of the above, Subcontractor shall promptly bring such information to the attention of Metra’s Procurement Officer.
3. The provisions of Section 1 above are applicable.

American Seating Company  
(Print) Name of Subcontractor Entity

By:   
Signature of Person Making Affidavit (Undersigned listed above)

Date: February 1, 2024

Thomas E. Bush, President & CEO  
(Print) Title of Person Making Affidavit

**NOTARIZE HERE**  
Subscribed and sworn to before me  
This 1st day of February 2024.

  
Notary Public  
NORMA BENCE  
Notary Public – State of Michigan  
County of Ottawa  
My Commission Expires Apr 12, 2024  
Acting in the County of Kent